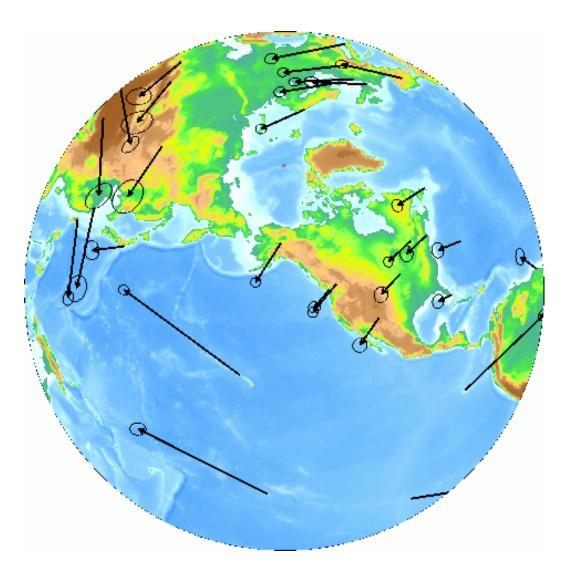
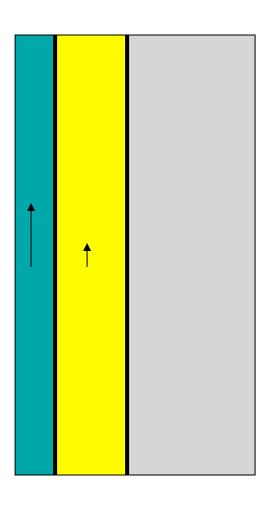


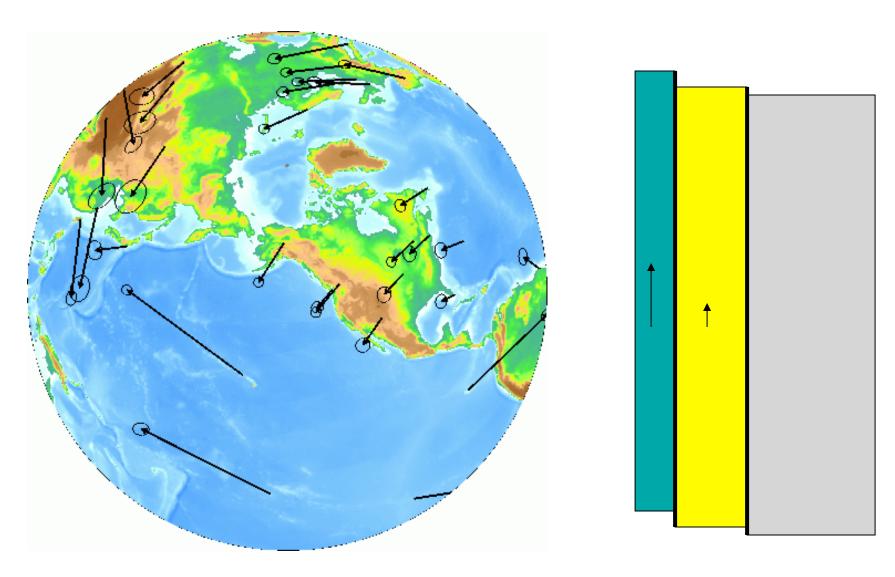
Faults at the Surface

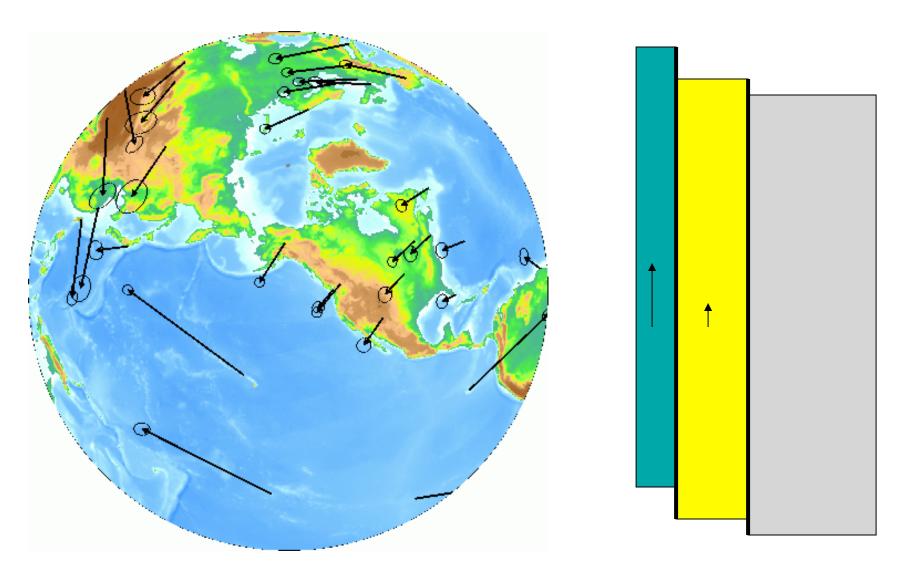


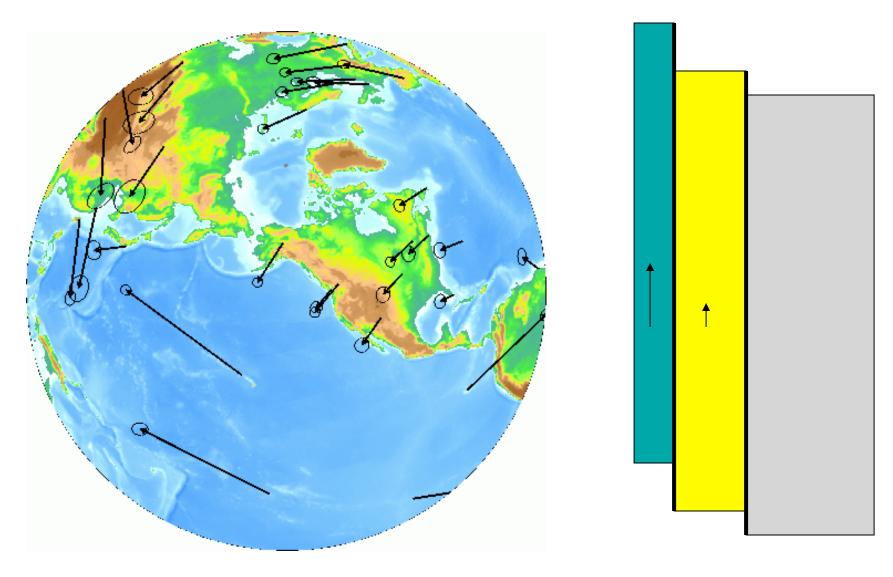








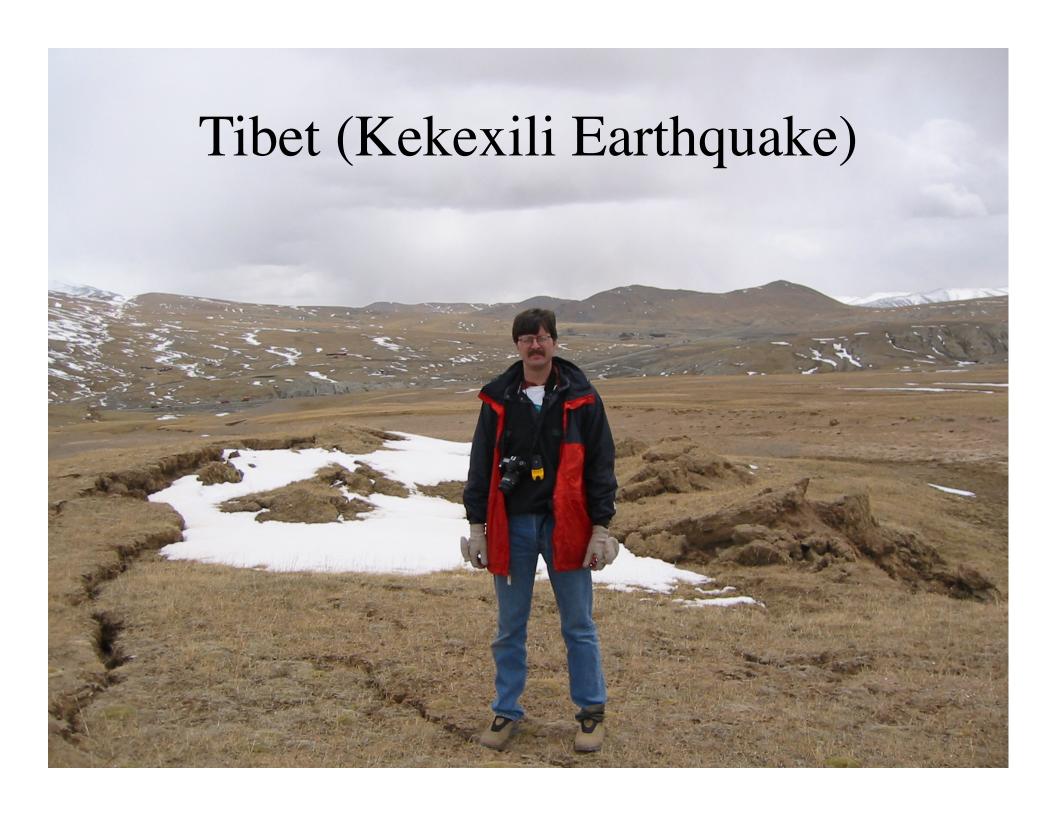




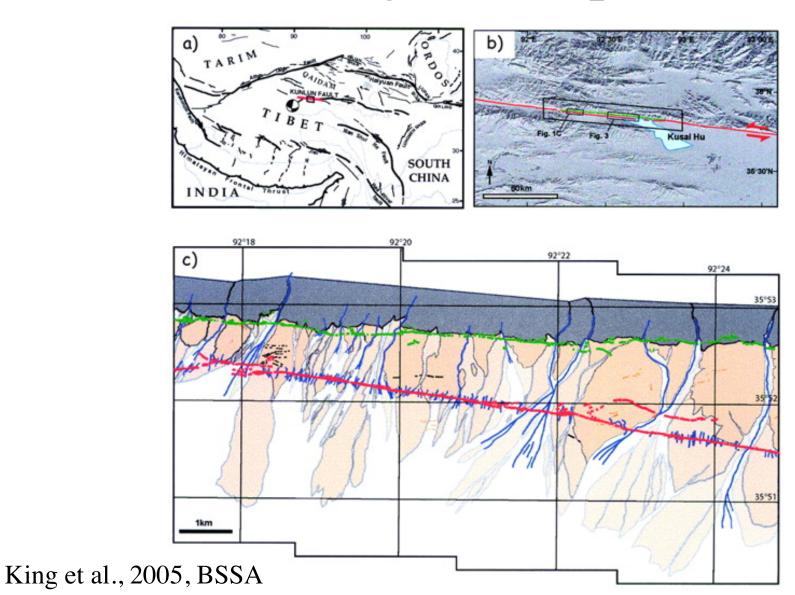
What is a Fault?



- A surface within the earth on which **slip** occurs.
- Usually considered to be planar.
- Not all cracks or breaks in rocks are faults.
- Fault offsets can be as small as millimeters or as large as hundreds of kilometers.
- Fault system: A set of related faults that play the same role.



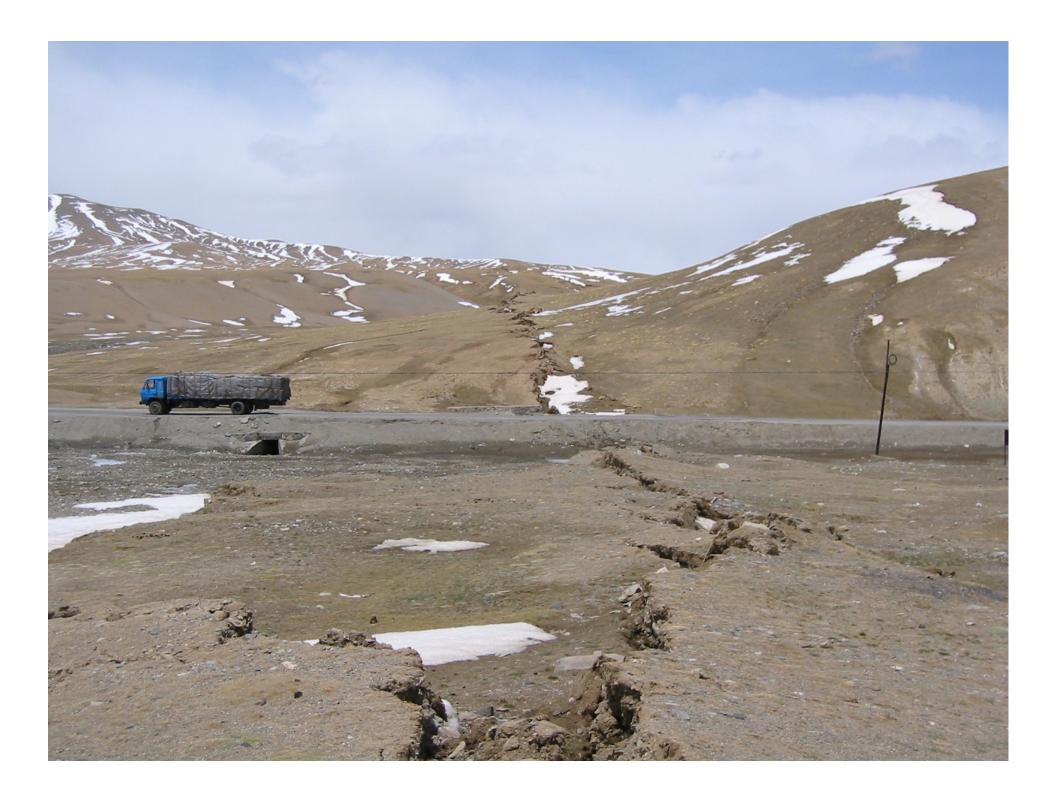
Setting and Rupture



Surface Rupture



- Ruptured Kusai Lake segment of Kunlun fault and Kunlun Pass fault
- Approximately 2.5 meters displacement at crossing of Qinghai-Tibet Highway, ~6 meters displacement farther west on parts of the Kusai Lake segment













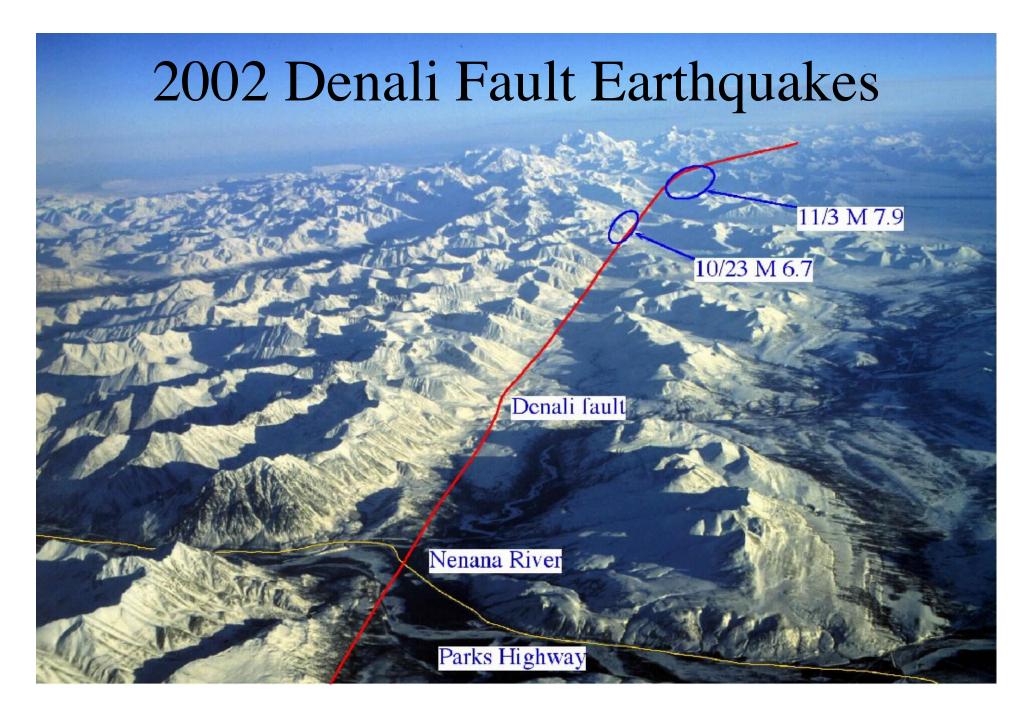


Photo Wes Wallace, UAF



Physics of Faults: Stick-slip vs. Stable Sliding

- An earthquake is caused by sudden slip on a fault
 - Mw 4-5: a few centimeters average slip
 - Mw 7: a few meters average slip
 - Mw 9: 10-20 average slip
 - Typical fault slip rates are mm to cm per year
- Why do faults move many years or centuries' worth of slip in a matter of seconds during an earthquake?

Physics of Faults: Terminology

Can divide fault zone based on how rocks deform (terminology of Scholz)

- Schizosphere deforms by brittle failure of rock
- *Plastosphere* deforms by flow: plastic, ductile
- Seismic slip involves brittle failure, occurs in schizosphere

Upper Crust: "Schizosphere"

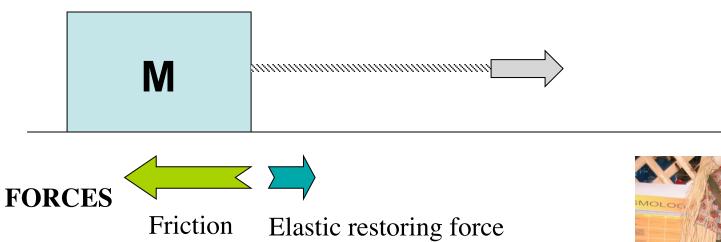
Lower Crust: "Plastosphere"

Is This Reasonable?

- Temperature increases with depth
 - Expect non-brittle failure at depth
- Most continental earthquakes are shallow
 - Strike-slip earthquakes in upper 10-15 km
 - Crustal dip-slip earthquakes similar
 - Subduction zone thrust earthquakes deeper
- Does not explain intermediate and deep Wadati-Benioff zone seismicity
- But, fault slip not only controlled by depth

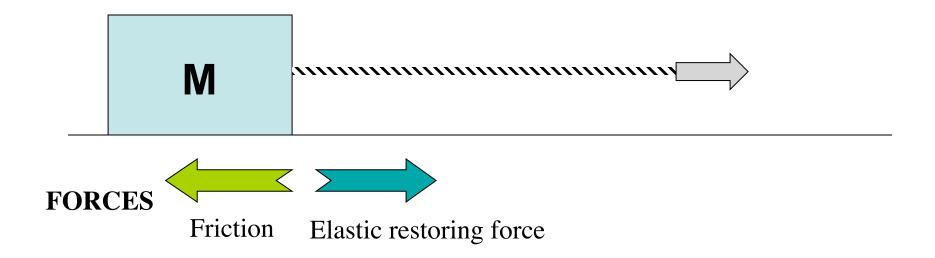
Two Types of Slip

- Stick-slip (seismic)
 - Two sides of interface stuck together: **friction**
 - Slip occurs when friction is overcome
 - Slip controlled by dynamic friction, healing
- Stable Sliding (aseismic)
 - Two sides slide continually past each other
 - Slip occurs all the time
 - Slip controlled by plastic, ductile, or viscous yielding
- Transient slip also occurs

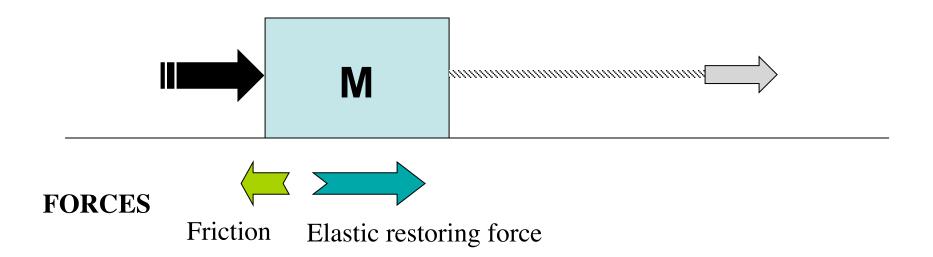


 Block is held in place by force of friction

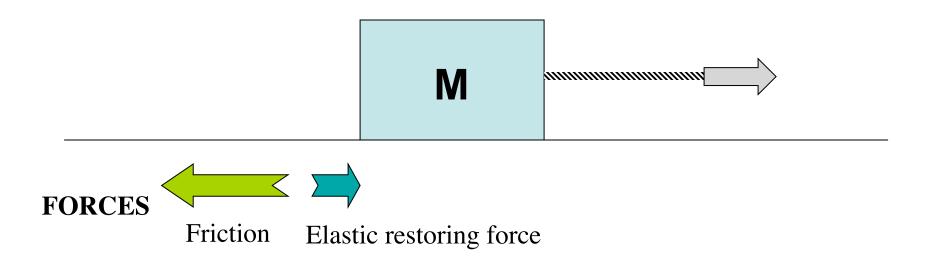




- Block is held in place by force of friction
- Moving load point increases elastic force

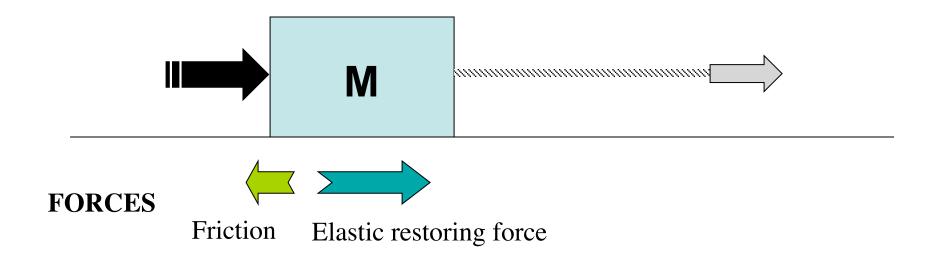


- Block is held in place by force of friction
- Moving load point increases elastic force
- Slips when elastic force exceeds friction



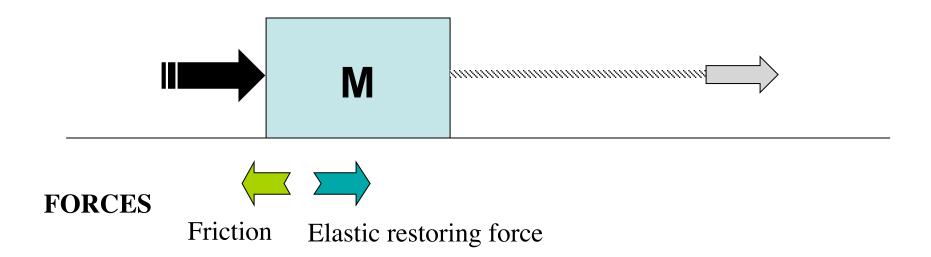
- Block is held in place by force of friction
- Moving load point increases elastic force
- Slips when elastic force exceeds friction

Frictional Instability



- Velocity-weakening (dynamic < static friction)
 - $F_e > F_f$; block accelerates
 - Velocity increases, F_f decreases; block accelerates more
 - Fe decreases with slip, in few seconds $F_e < F_f$; block decelerates
 - Velocity decreases, F_f goes up; block decelerates and stops

Alternative: Stable Sliding



- Velocity-strengthening (dynamic > static friction)
 - $F_e > F_f$; block accelerates
 - Velocity increases, F_f increases; acceleration stops
 - But velocity then remains the same
 - Velocity reaches equilibrium with shear stress

Rate and State Friction Law

- Freshman physics: static friction and dynamic friction
 - How does static friction transition to dynamic?
- These two kinds of frictional behavior both described by a **rate and state-dependent friction** law (Ruina, 1983)
 - Empirical relation based on laboratory data
 - Describes the evolution of friction with slip velocity and time
 - Describes fault weakening and healing
- Based on curve fitting rather than fundamental physics, but it works!

Rate and State Friction Law

 The rate and state friction law describes the coefficient of friction in terms of the rate of sliding and a state variable. The state variable evolves with time according to a state evolution law.

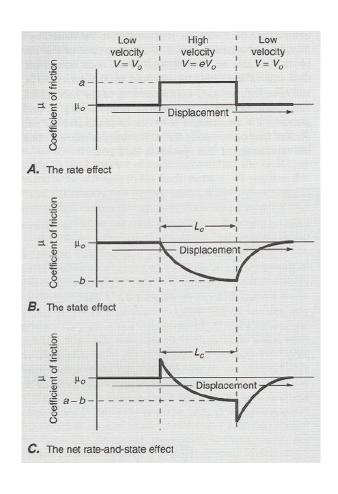
$$\mu(V,\theta) = \mu_0 + a \ln\left(\frac{V}{V_0}\right) + b \ln\left(\frac{V_0\theta}{D_c}\right)$$

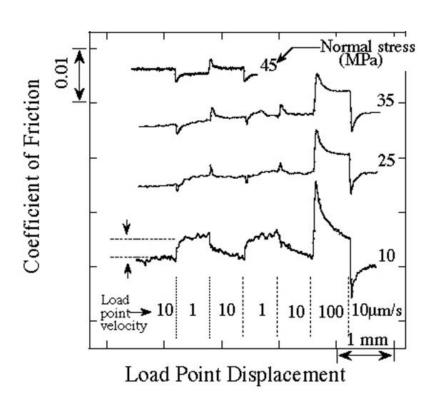
$$\frac{d\theta}{dt} = 1 - \left(\frac{V\theta}{D_c}\right)$$

• At steady state $(\theta_{ss} = D_c/V_{ss})$,

$$\mu_{ss} = \mu_0 + (a - b) \ln \left(\frac{V}{V_0} \right)$$

Examples from the Lab





Stability of Slip

$$\mu_{ss} = \mu_0 + (a - b) \ln \left(\frac{V}{V_0} \right)$$

- The rate and state law predicts that slip can either be stable or unstable
 - Velocity strengthening = stable ($a-b \ge 0$)
 - Friction *increases* as slip velocity increases, retards slip acceleration
 - Velocity weakening = unstable (a-b < 0, high normal stress)
 - Friction *decreases* as slip velocity increases, causing runaway acceleration of slip → an earthquake
 - The runaway stops quickly because the stored elastic strain energy is depleted
 - Conditionally stable (a–b < 0, high normal stress)
 - System slips stably because elastic stresses decrease faster than coefficient of friction

Creeping Faults (Willits, CA)

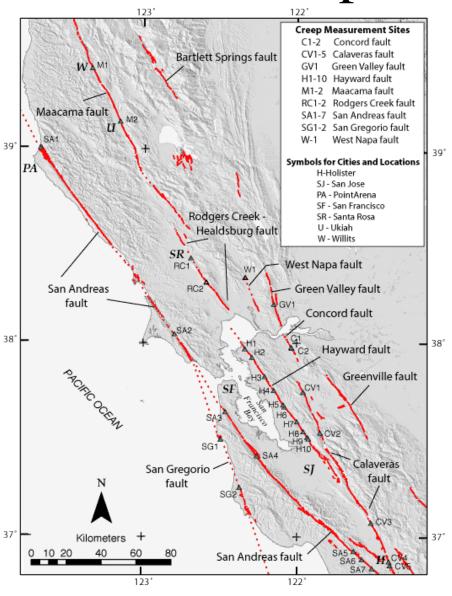




Can you spot the fault?

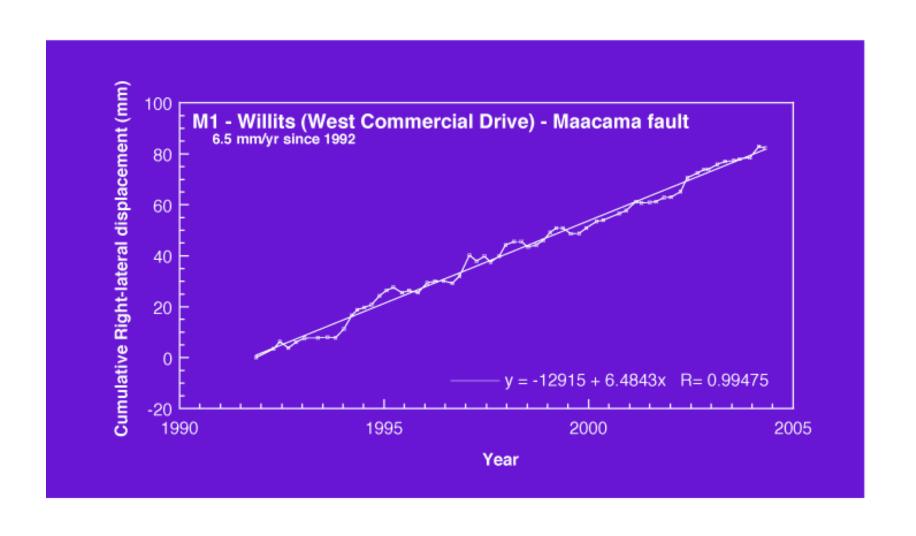


Creep Monitoring

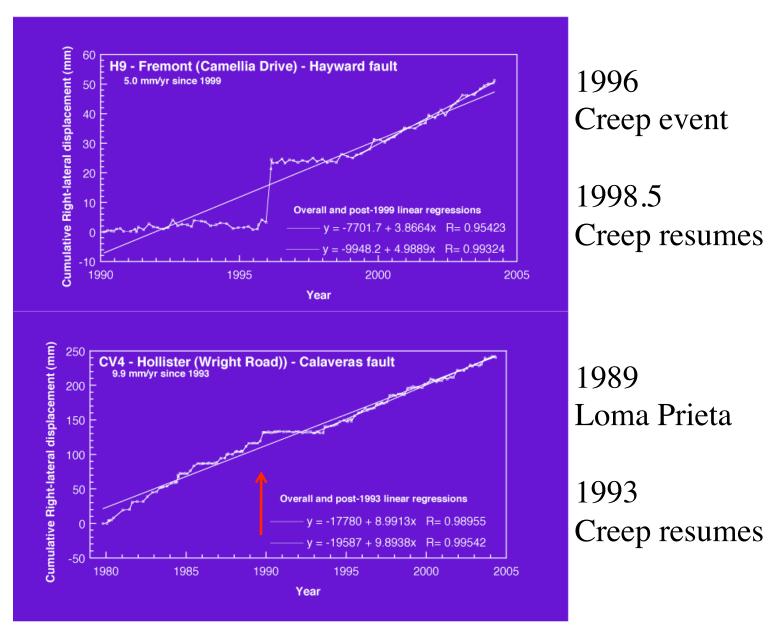


- San Francisco State U.
 has been running a
 fault creep monitoring
 program for almost 30
 years:
- http://funnel.sfsu.edu/ creep/CreepMap.html

Here is the Willits Creep Rate



Time-dependent Creep



Can divide fault zone based on how fault slips

- Seismogenic Crust exhibits stick slip
- Transitional Zone may exhibit complex behavior
- Aseismic Crust exhibits stable sliding
- Crustal earthquakes involve slip of seismogenic crust and possibly transitional zone

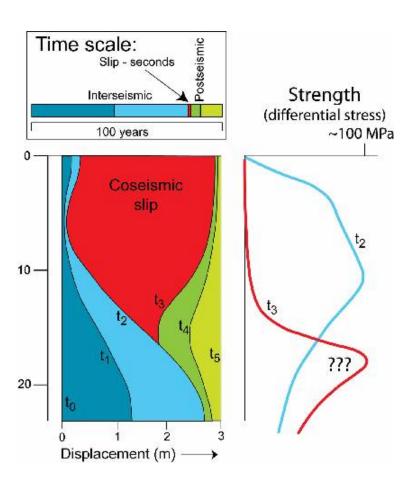
Seismogenic Crust: Stick Slip

Aseismic Crust: Stable Sliding or plastic (flow) deformation

A Simple "Earthquake Cycle" Model

- Based on the spring-slider analogue model
- Between earthquakes:
 - Shallow fault is locked
 - Deeper fault is creeping at long-term slip rate
 - Stress builds up: elastic strain energy stored in crust
- During earthquake, shallowfault slips
 - Stress on fault reduced
- Cycle repeats forever

The Slip History on a Fault

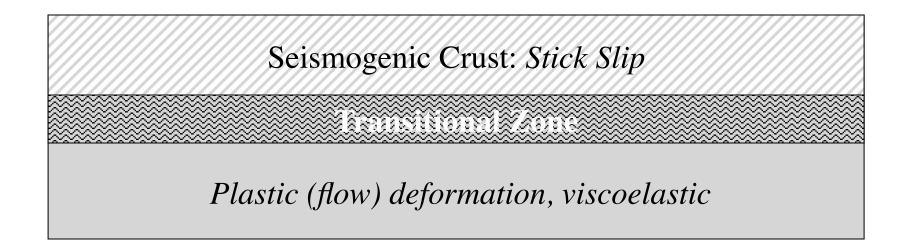


Looks like the figure at left

- Most of the slip in the upper part occurs as coseismic slip in an earthquake.
- Slip rate varies with depth and time in the cycle.
- Strength/stress history also time and depth variable.
- In this figure, the "locking depth" is time dependent.

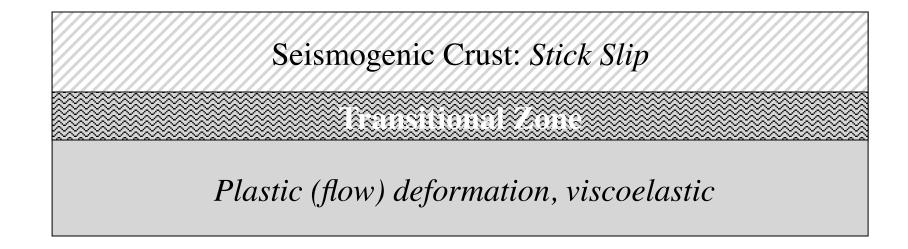
Can divide fault zone based on how fault slips

- Crustal earthquakes involve slip of seismogenic crust and possibly transitional zone
- Mantle is certainly viscoelastic, fault-mantle connection less clear
- Subject to intensive ongoing research



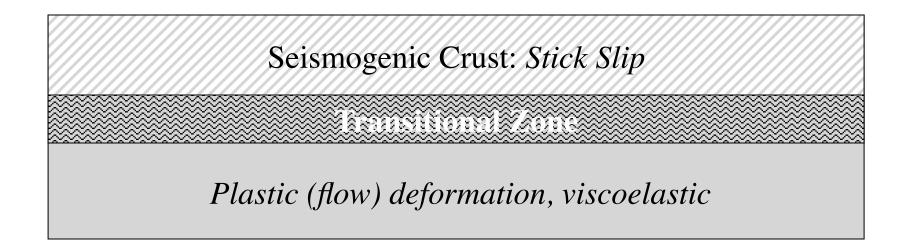
Shallow Seismogenic Zone slips mainly in earthquakes

- Shallow fault creep is unusual, but clearly observed.
- Most faults appear to be locked at shallow depth.



Transitional Zone is particularly dynamic

- Experiences substantial postseismic afterslip (more tomorrow)
- Is known to experience transient creep in some circumstances.
- Subject to intensive ongoing research



Deep Shear Zones may respond postseismically

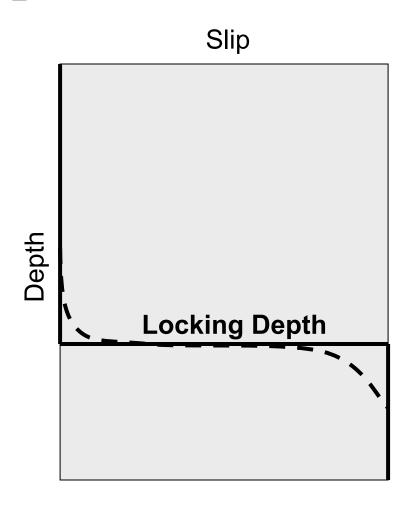
- Postseismic models disagree about depth extent of aferslip
- Deep crustal shear zones may slip/shear at a relatively uniform rate
- Asthenospheric mantle displays viscoelastic behavior.

Seismogenic Crust: Stick Slip

Plastic (flow) deformation, viscoelastic

Geodetic Implications

- Between earthquakes
 - Fault does not slip from surface to locking depth
 - Fault slips continuously beneath locking depth
 - May be finite transition zone between locked and slipping parts
- During earthquake
 - Shallow fault slips



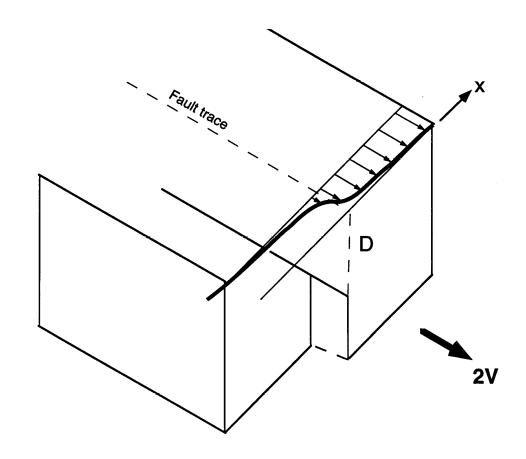
Shallow Locked Fault Causes Deformation

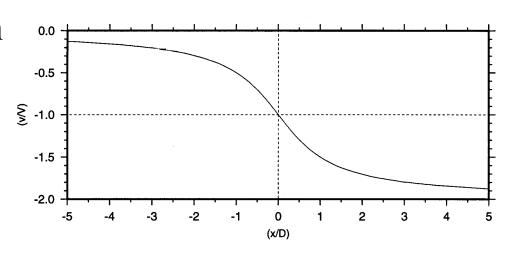
- Earth deforms as elastic body over short timescales
- Locked shallow fault + slipping deep fault produces elastic strain in vicinity of fault
 - Most important close to fault
 - Far from fault, motion is same as rigid blocks
- Post-seismic deformation to be discussed later

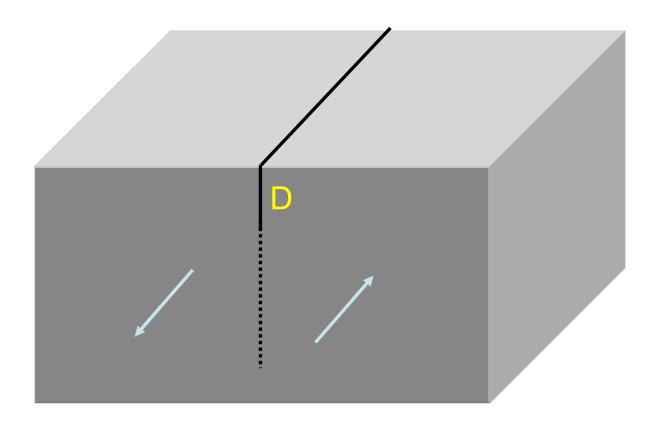
Elastic Fault Deformation

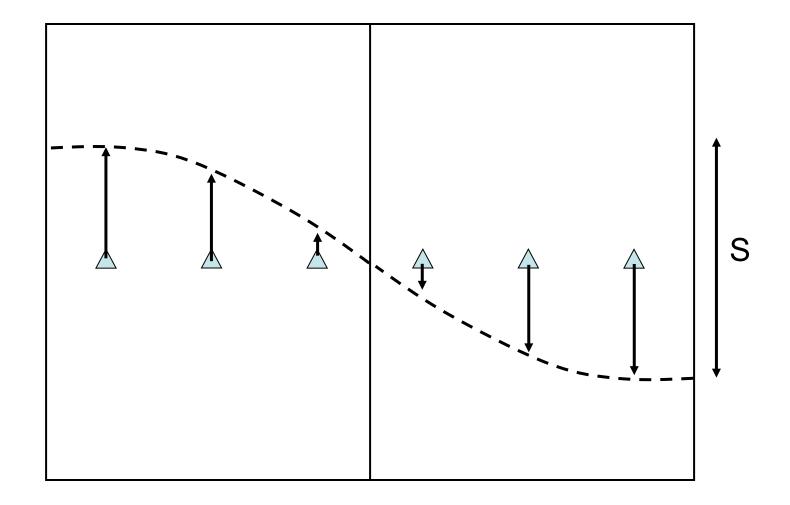
Example: 2D strike slip fault

- (Infinitely long fault)
- Velocity profile follows arctangent(x/D)
- Half of deformation seen on each side of fault
- •Most elastic deformation within 2-3 locking depths of fault





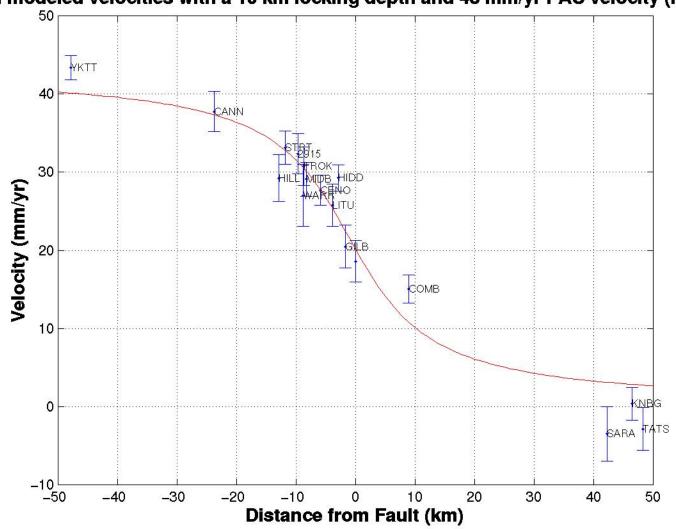




An Example

Fairweather Fault Parallel Velocities

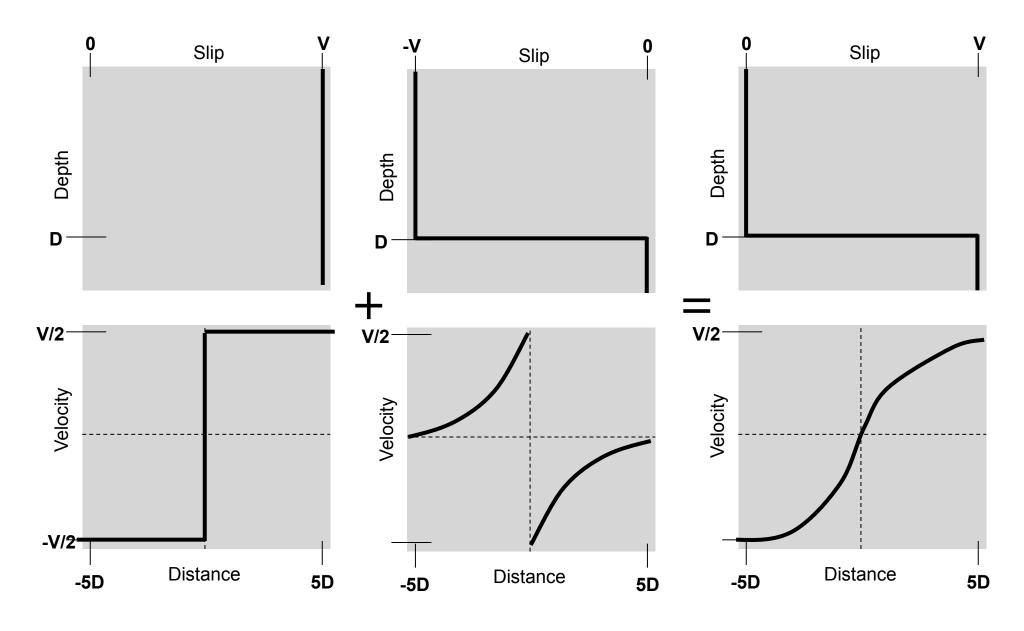
And modeled velocities with a 10 km locking depth and 43 mm/yr PAC velocity (red lin



Modeling Elastic Deformation

- We can model effect of locked faults using elastic dislocation theory with an elastic half-space
 - There are simple analytical expressions for 2D
 - There are standard computer codes for 3D
 - The same codes work for earthquake (coseismic) deformation
- A simple approach is to represent motions by a combination of rigid block motion and "backslip" to cancel the motion on the shallow fault (Savage and Burford, 1973)
- Backslip represents the *slip deficit* of the shallow fault

Superposition



2D Strike Slip Fault

$$V(x) = \frac{S}{\pi} atan \left[\frac{(x - x_f)}{D} \right]$$

Savage and Burford (1973)

V(x) = fault-parallel velocity at position x

S = long-term slip rate

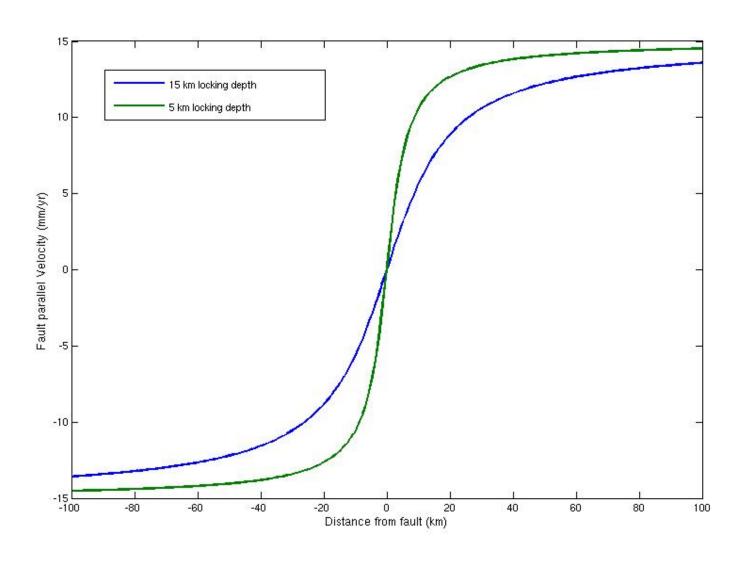
D = locking depth

x = perpendicular distance of site from fault

 $x_f = position of fault$

In this formulation, a site on the fault has zero velocity

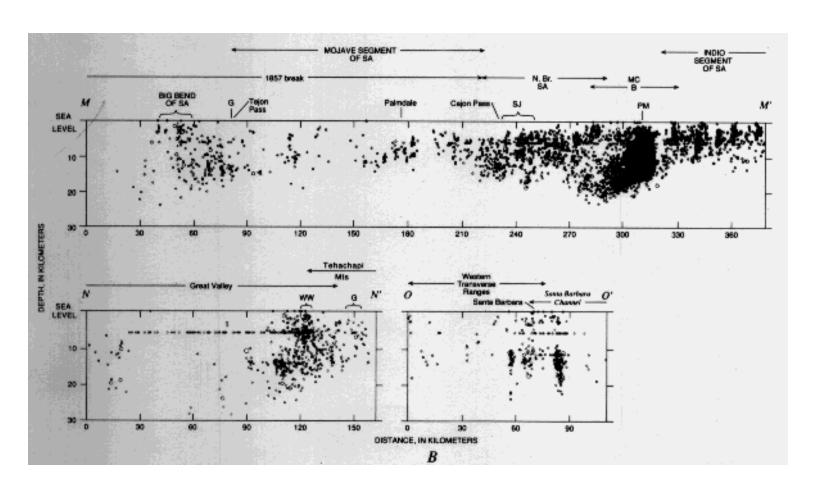
Changing the Locking Depth



How to Constrain Locking Depth?

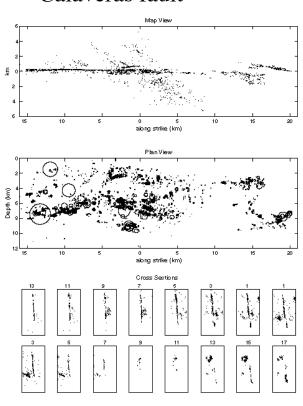
- Good correlation between maximum depth of microseismicity and maximum depth of slip in large earthquakes
- First-order approximation: maximum depth of microseismicity = locking depth
 - Usually 12-18 km for strike-slip faults
 - Usually 25-50 km for subduction thrust
- Remember uncertainty in source depth!
- Or estimate it with slip rate from geodetic data

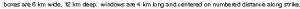
Maximum Depth of Seismicity

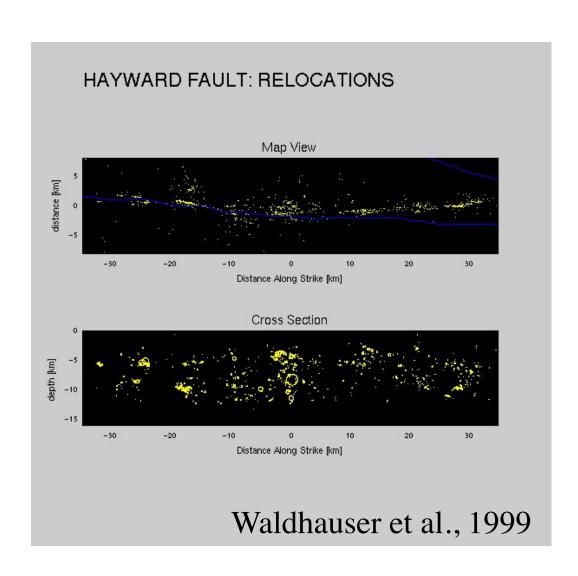


Detailed Depth Sections

Calaveras fault





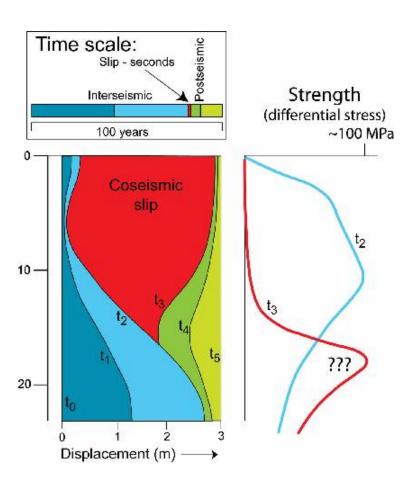


Creeping Faults (Willits, CA)





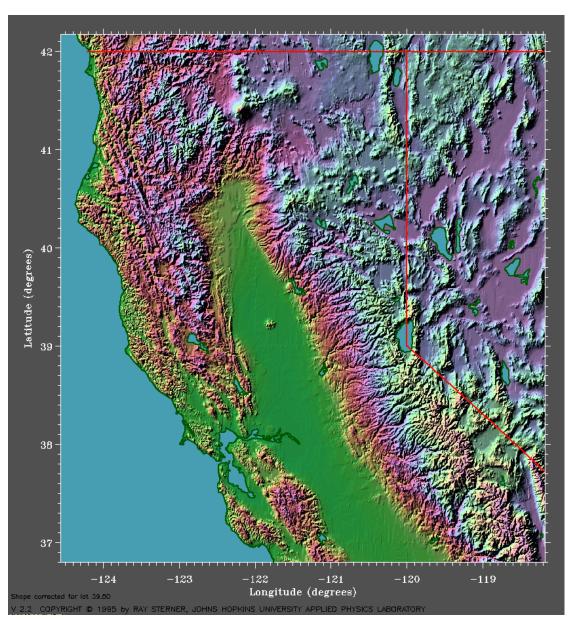
The Slip History on a Fault



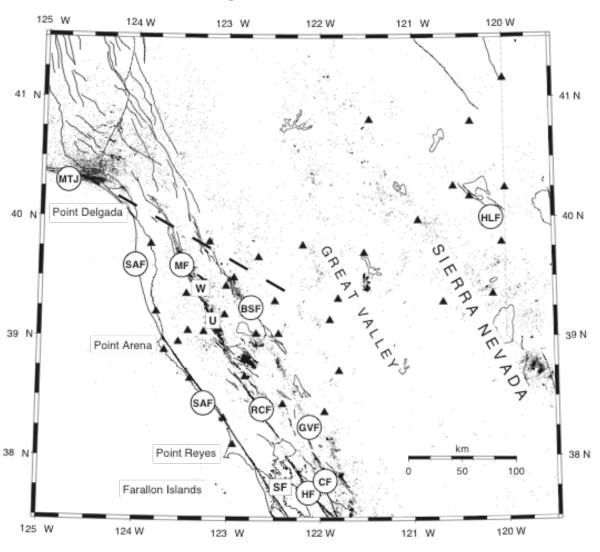
Looks like the figure at left

- Most of the slip in the upper part occurs as coseismic slip in an earthquake.
- Slip rate varies with depth and time in the cycle.
- Strength/stress history also time and depth variable.
- In this figure, the "locking depth" is time dependent.

Northern California

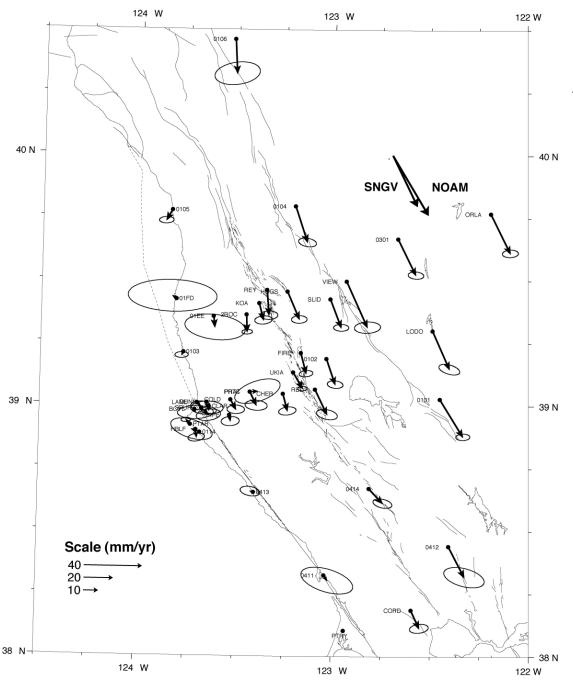


Major Faults



GMT Nov 5 15:17 Figure 1, Freymueller et al.

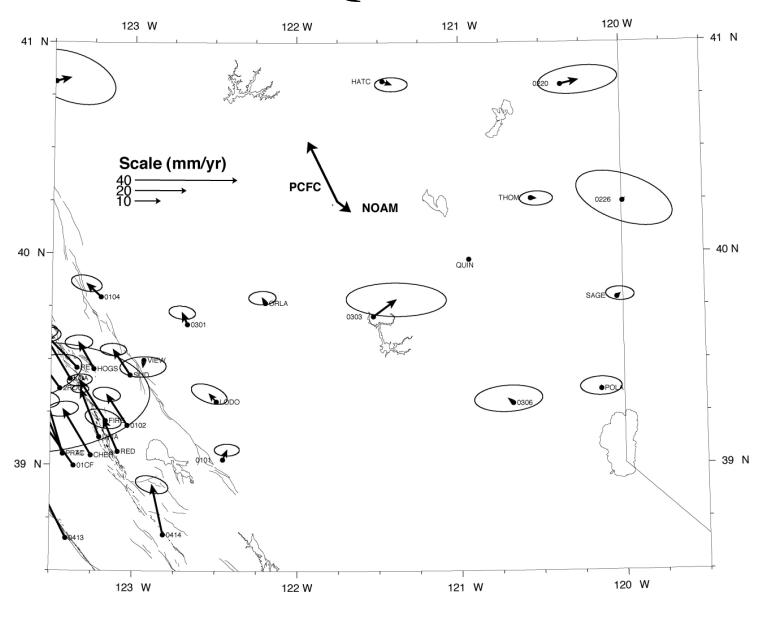
Freymueller et al., 1999

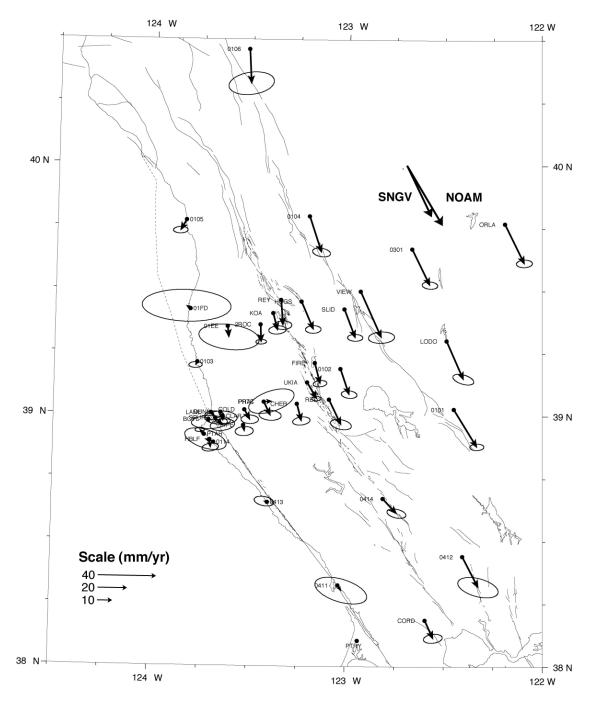


Velocities

- Relative to PCFC
 - Defined by VLBI and PTRY site
- Motions are parallel to SNGV-PCFC relative motion
- 40 mm/yr total across Coast Ranges

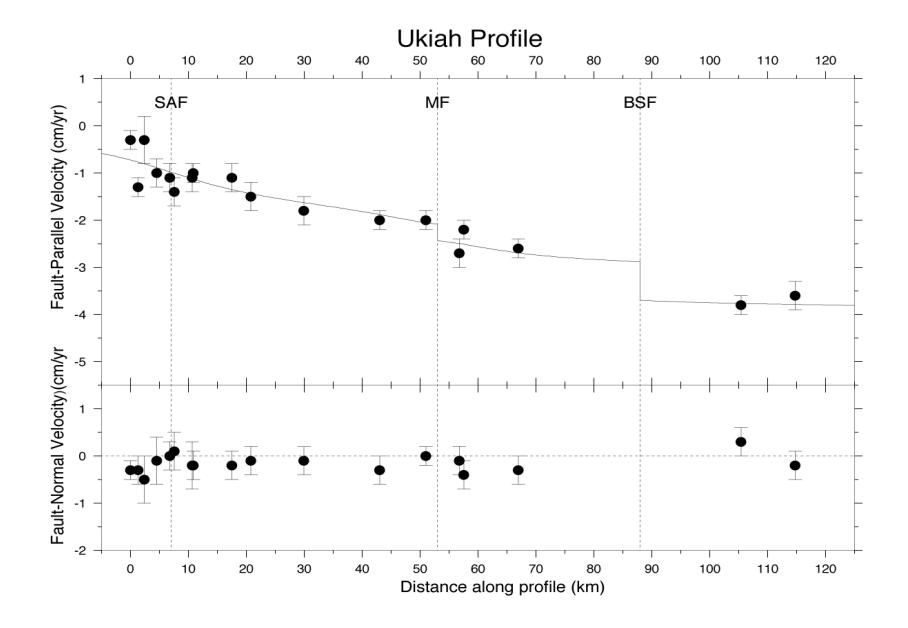
Relative to QUIN on SNGV

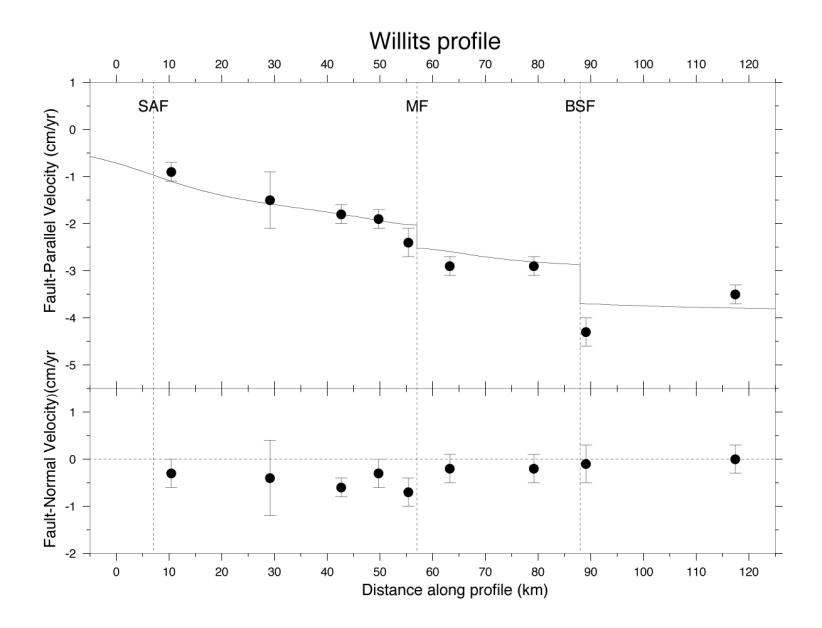




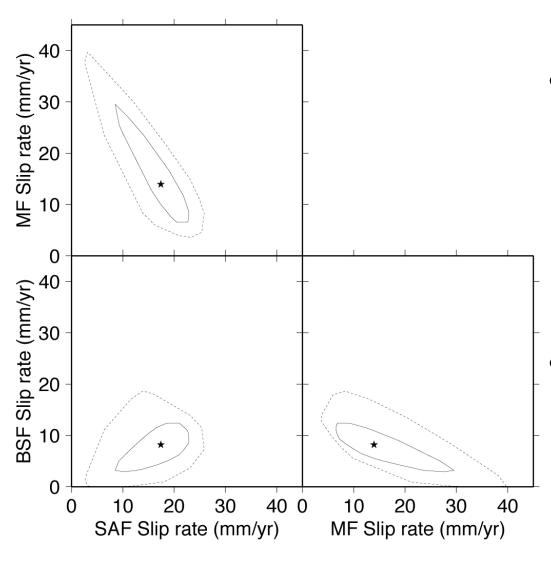
Models

- 3 parallel strike-slip faults 30-40 km apart
- Have some constraints on slip rate from geology
- Locking depth not well known
- Some shallow creep documented



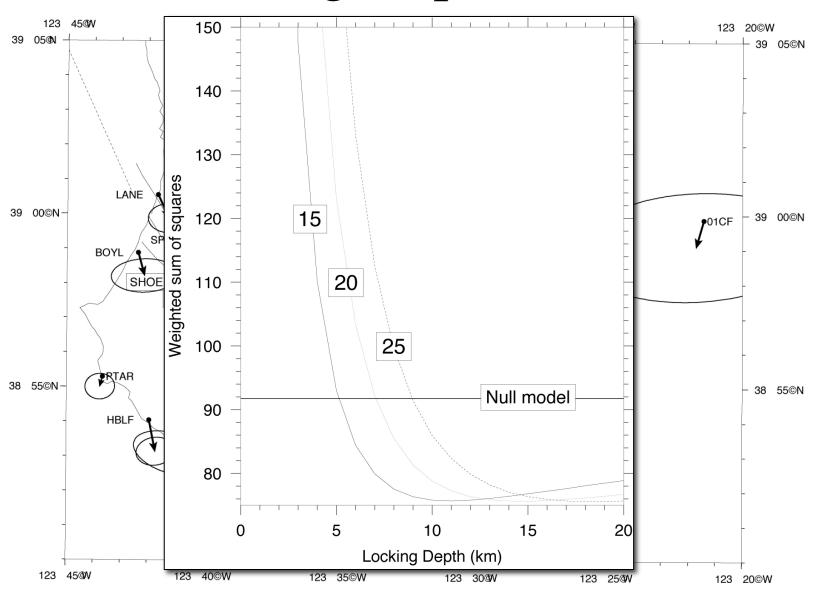


Slip Rate Tradeoffs



- Total slip rate 40 mm/yr
 - SAF 17.4 (+2.5,-3.1)
 - MF 13.9 (+4.1,-2.8)
 - BSF 8.2 (+2.1,-1.9)
 - BSF creeping
- Significant tradeoffs between individual fault slip rates

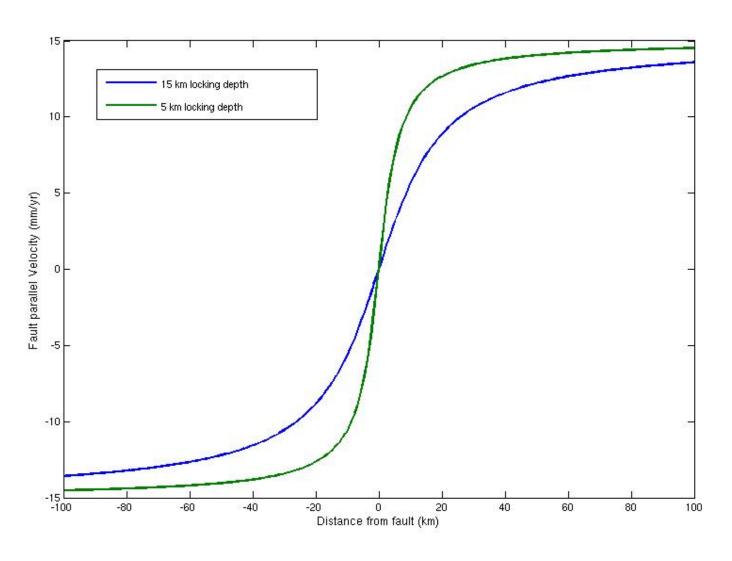
SAF Locking Depth at Pt. Arena



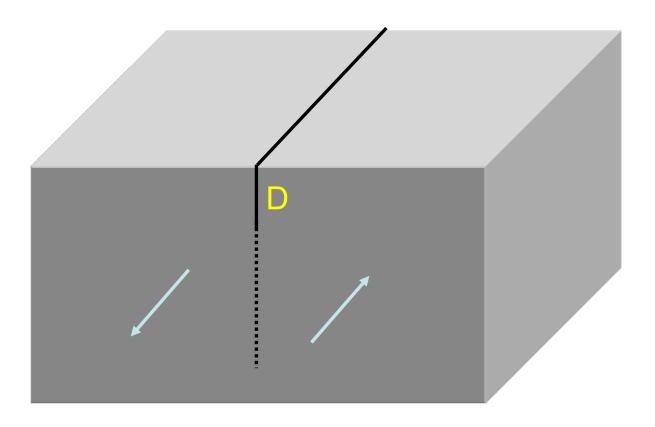
Comments on Models

- For multiple parallel faults, the total slip rate of all faults is well determined, but the individual slip rates are not.
- If locking depths are well known, this resolution problem is eased.
- Data can be explained reasonably well by putting more slip on central fault with very deep locking depth

Slip Rate/Locking Depth Tradeoff



Viscoelastic Coupling Model



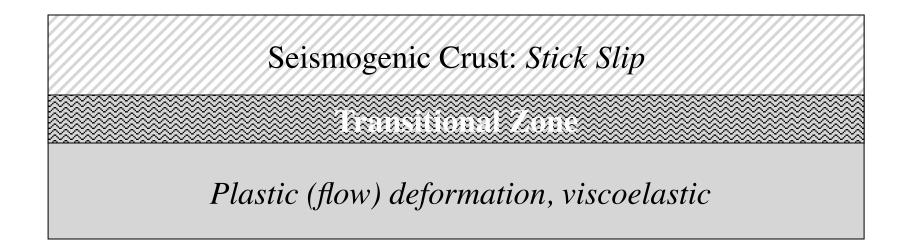
Common: Elastic half-space

Viscoelastic coupling model: Elastic layer over viscoelastic half-space

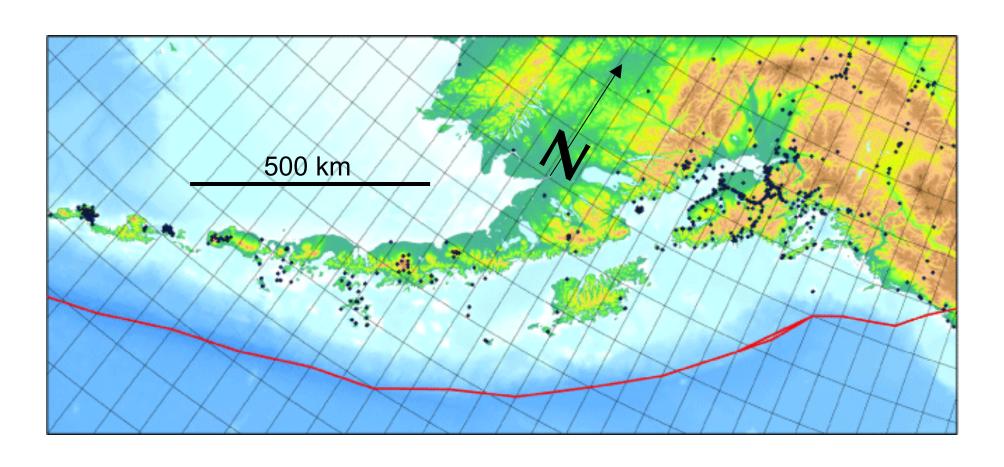
(Savage and Prescott, 1978)

Can divide fault zone based on how fault slips

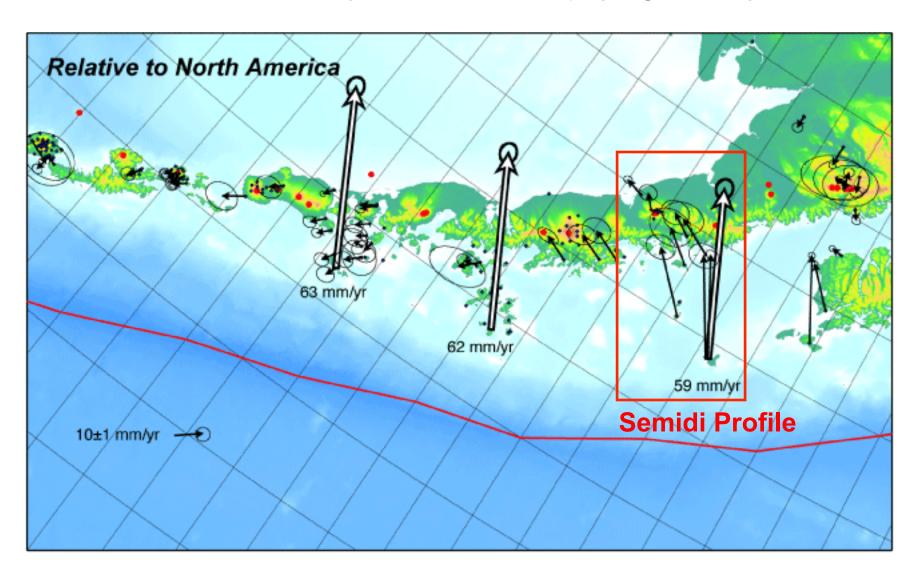
- Crustal earthquakes involve slip of seismogenic crust and possibly transitional zone
- Mantle is certainly viscoelastic, fault-mantle connection less clear
- Subject to intensive ongoing research



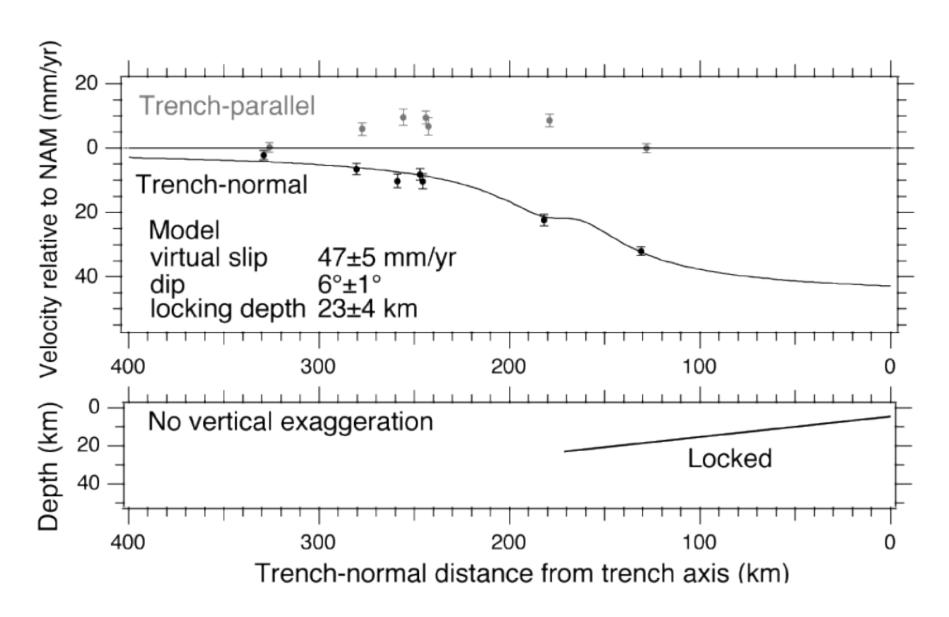
Alaska Subduction Zone



Alaska Peninsula Velocities



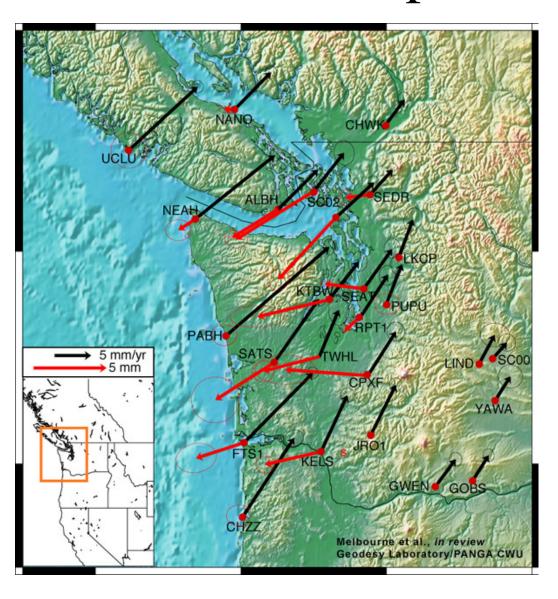
Semidi Profile Model

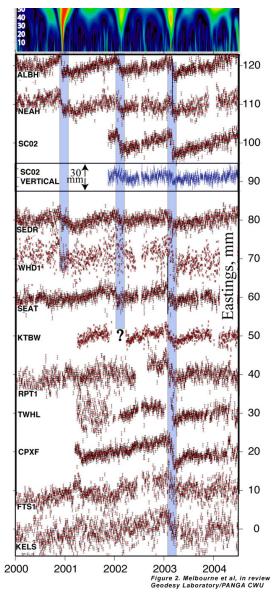


What Geodesy Constrains

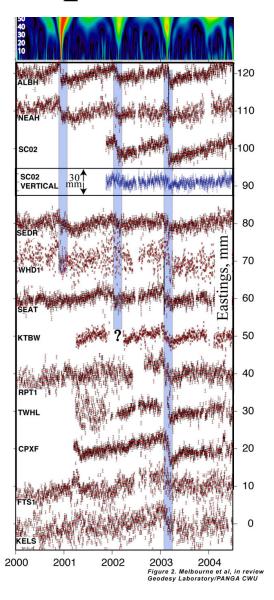
- Horizontal position of downdip end of seismogenic zone
 - Ambiguity between instantaneous and linear transition from locked to creeping
- Dip and depth, but subject to tradeoffs
- "Moment Deficit" product of fault area and slip deficit
- Limits to resolution
 - Near trench: virtually no resolution
 - Offshore: features of a few 10s of km size

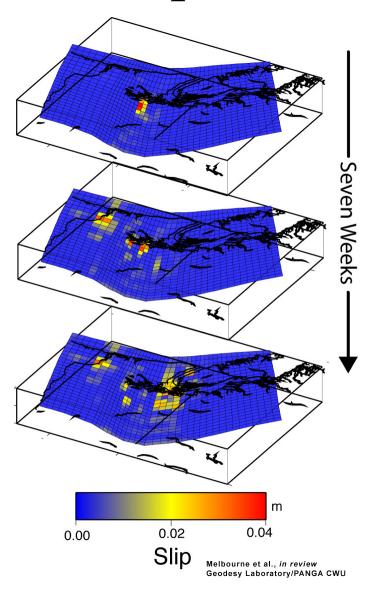
Slow Slip Events



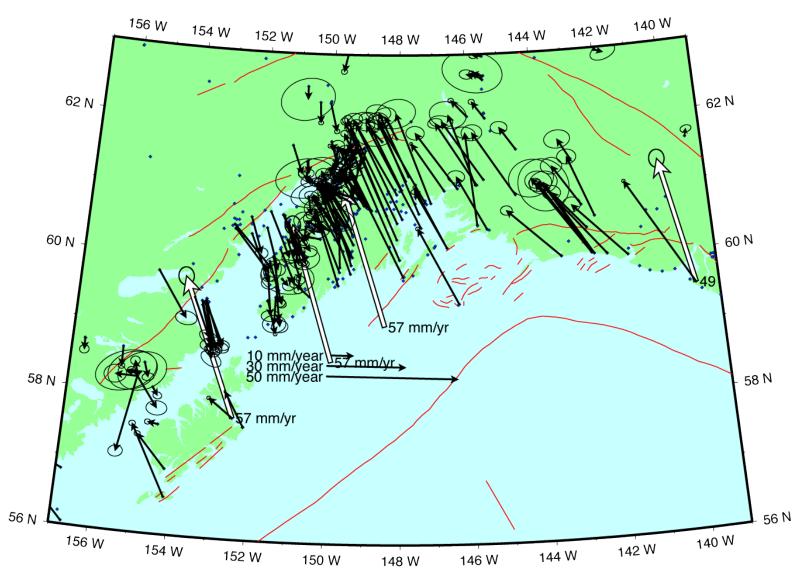


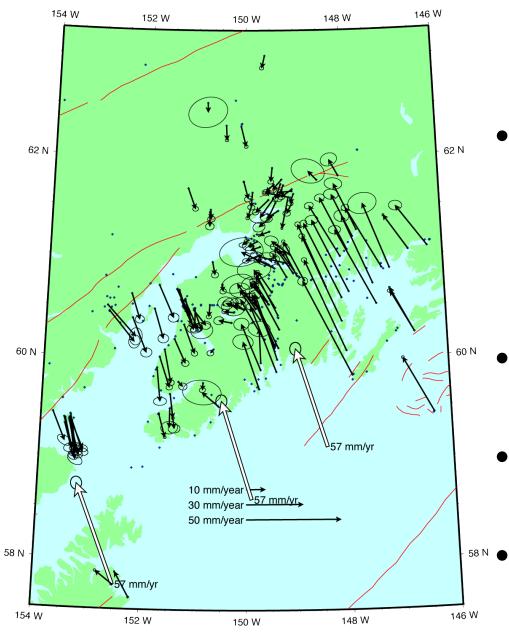
Displacement and Slip Model





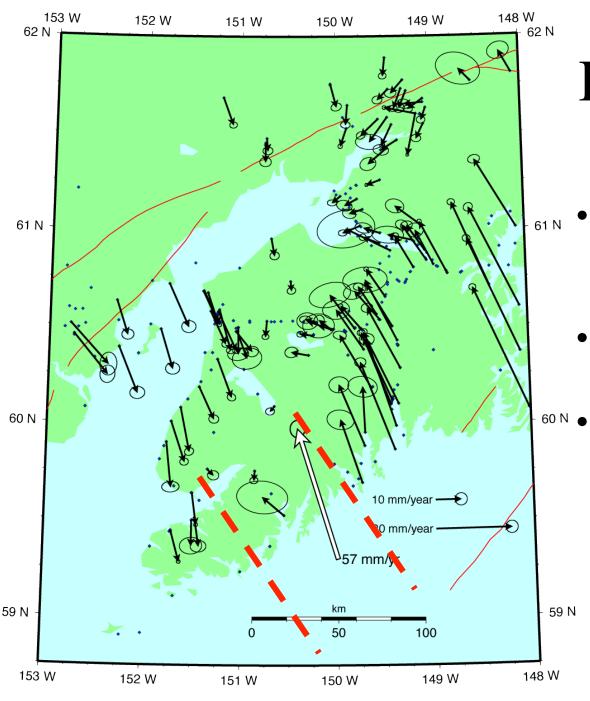
Present Horizontal Velocities





Kenai

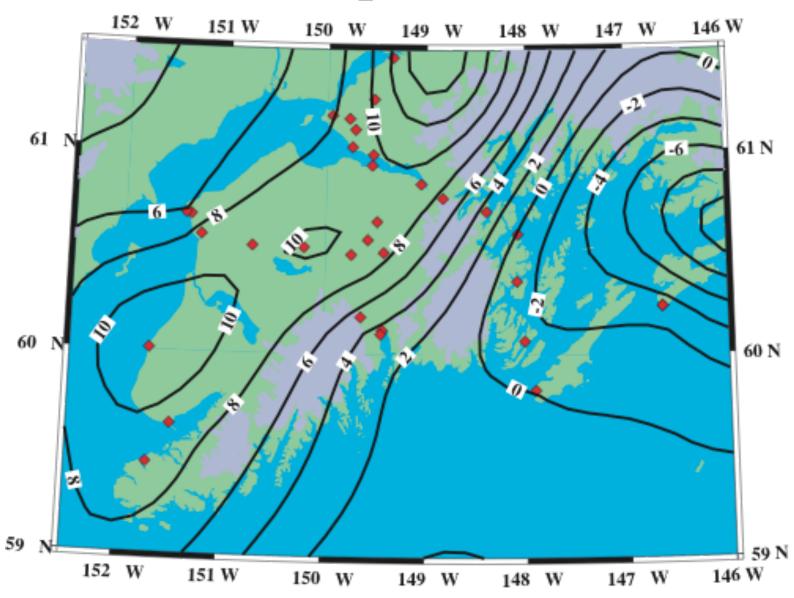
- Combination of
 - locked subduction zone(NNW)
 - postseismic deformation (SSE)
- Up to 55 mm/yr relative to NOAM
- Up to ~75 mm/yr relative motions
 - Along-strike changes in seismogenic zone



Kenai Detail

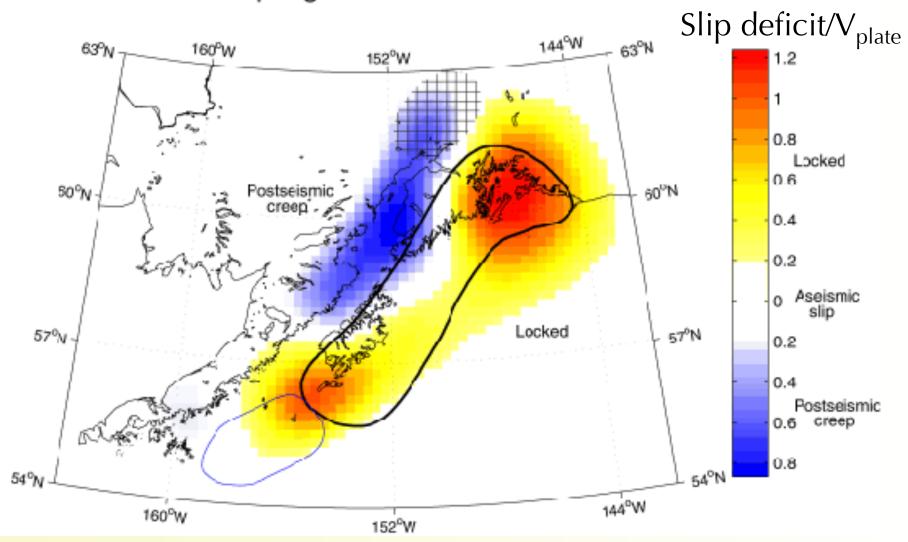
- Obvious transition between western and eastern Peninsula
- Look at sites same distance from trench
- Edge of plate coupling toward western edge of Peninsula
 - Edge of PWS asperity

GPS Uplift Rates

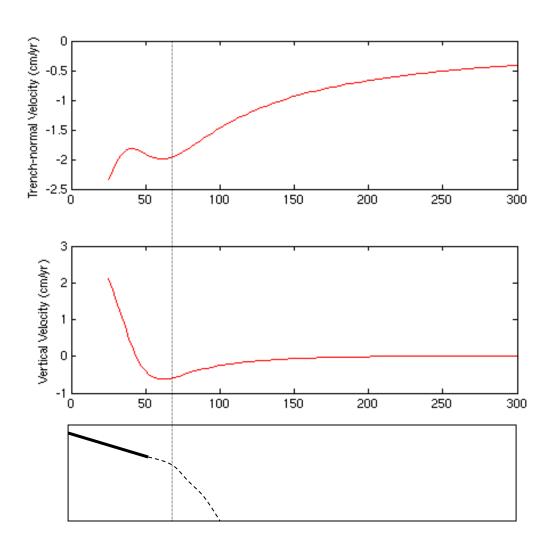


Regional Plate Coupling

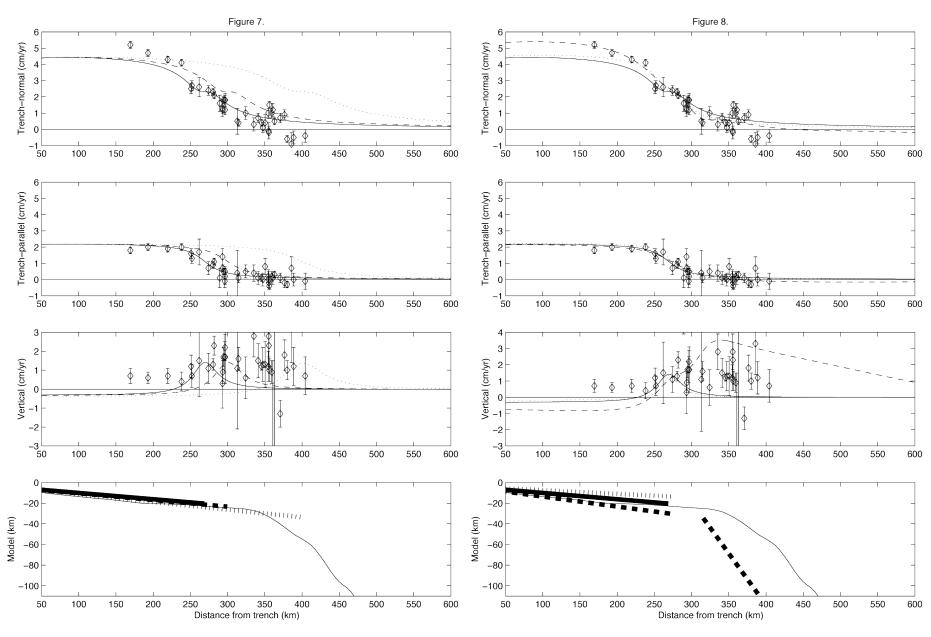
Plate Coupling Model for 1992-1999 GPS Data



A Model for Kamchatka



10 cm/yrConvergence35 degree dip



From Freymueller et al. (2000), JGR